

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:
CLAUDE BASSO, ET AL.

Serial No.: **09/546,133**

Filed: **APRIL 10, 2000**

For: **NETWORK PROCESSOR
SERVICES ARCHITECTURE THAT
IS PLATFORM AND OPERATING
SYSTEM INDEPENDENT**

ATTY. DOCKET NO.: **RAL9-00-0029**

§
§ Examiner: **DIEM K. CAO**

§
§
§ Art Unit: **2126**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. §1.97(e). Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application.

Please charge the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) and any additional fees required for prosecution of the present application to IBM Deposit Account No. 50-0563.

Respectfully submitted,

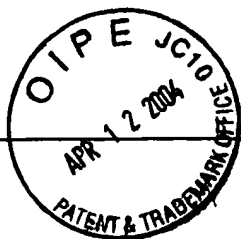
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| Substitute form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Complete if Known | |
| | | | | Application Number | 09/546,133 |
| | | | | Filing Date | April 10, 2000 |
| | | | | First Named Inventor | Claude Basso |
| | | | | Group Art Unit | 2126 |
| | | | | Examiner Name | Diem K. Cao |
| Sheet | 1 | of | 1 | Attorney Docket Number | RAL9-00-0029 |

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| U.S. PATENT DOCUMENTS | | | | |
|-----------------------|-----------|-----------------------------|-------------------------------|-----------------------------|
| Examiner Initials | IDS Doc # | U.S. Patent Document Number | Name of Patentee or Applicant | Publication Date MM-DD-YYYY |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------|--------|-----------------------------|-------------------------------|---------------------|---------------------|
| Examiner Initials | IDS Doc # | Office | Foreign Patent Document No. | Name of Patentee or Applicant | Date of Publication | English Translation |
| | | WIPO | WO 97/27558 | Pathlight Technology | 07-31-1997 | Yes |
| | | EPO | 0 620 693 A2 | GPT Limited | 10-19-1994 | Yes |
| | | | | | | |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------|--|---------------------|
| Examiner Initials | IDS Doc # | Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | English Translation |
| | | CHE-LIANG YANG and CHE-FN YU, The Design and Implementation of a Service Logic Execution Environment Platform, IEEE, 1993, pp. 1911-1917, GTE Laboratories Inc., Waltham MA | |
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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant